

DOCTORS PROFESSIONAL LIABILITY

Medical Professional Liability Policy Application

□ APPLICATION MUST BE SIGNED AND DATED AT TIME FIRST COMPLETED AND SENT BACK TO US.
□ Please provide your expiring insurer policy Declarations Page showing Retroactive Date – <u>a must if</u> requesting Prior Acts Coverage.
\Box Please provide copies of any applicable current policy endorsements that affect basis of coverage so that we are able to try and obtain coverage aspects equal to or better than what you currently have in place.
☐ Please provide a copy of an Up-to-date CV (curriculum vitae - also known as a resume).
□ Please provide current (i.e. obtained within 60 days of requested effective date) Claims History / Loss Run reports from all Prior Insurance Companies over the last 10 years – WE WILL BE GLAD TO TRY AND HELP YOU OBTAIN THESE IF NECESSARY.



Medical Professional Liability Policy Application

	NAL INFORMA oplicant:							
Tall Name of Ap	FIRST		MIDDLE		LAST		SUFFIX	
Professional De	esignation: 🗆 MC	□ DO Date o	of Birth:			_ Gender:	Male	Femal
Diago of Dirth			MONTH	DAY	YEAR	~ r.		
Place of Birth: _				Social	Security Number	er:		
II. OFFICE	INFORMATIO	N						
	Address:							
Timelpar Office	7 (ddi 033							
	City		Cou	nty	State		Zip	
Office Phone N	umber:			Office I	Fax Number: _			
Email Address:			Offi	ce Manag	jer:			
Secondary Office	ce							
Locations (If any	/):							
	City		Cour	nty	State		Zip	
	AGE REQUES							
Requested Effe	ctive Date:			Retroad				
	MONT	H DAY	YEAR		MONT	H DAY	YEA	AR
**If retroactive co	verage is request	ed, please con	nplete the attac	hed No Kn	own Loss Form.			
	ant retroactive cov	•	•			Form.		
		-		_	in the appropri			
	000/\$300,000		,000/\$600,000			000/\$750,00		
□ \$500,0	000/\$1,500,000	□ \$1,00	0,000/\$3,000,0	000	☐ \$1,300,0	000/\$3,900,00	00 (New Y	ork Only)
IV. CLASS	IFICATION I I	ENICINIC AN		EDTIFIC	ATION INFOR	MATION		
	IFICATION, LIC							
	your present spe	-						
	your present sub						+. <i>(</i> 2	
•	ercentage of you the average nu	•	-	•	-	•	•	
	ine average nui ng 20 hours or le		•		•	•		
	ig 20 flours of le		a being part-ti					



State	Medical License Number	% of Practice	Federal DEA License Number & Status	Member Medical A	of State ssociatio	
				□ Yes	□ No	
				□ Yes	□ No	
				☐ Yes	□ No	
-	foreign graduate, are Medical Graduates?	you certified by th	e Educational Commi	ssion Yes	No	□ N/.
6. Are you An	nerican Board Certified	! ?		Yes	No	
-	ves," list Specialty Boar ves," list date of initial B					steopathi
				t hours you have	_	



V. MEDICAL PROCEDURES INFORMATION

		Γ
☐ Abortion, elective	□ D&C	☐ Organ transplantation
☐ Acupuncture	□ Dermatopathology	☐ Orthopedic surgery
☐ Anesthesia	☐ Echocardiography	☐ Including spinal surgery
☐ Caudal	☐ Endoscopic laser therapy	☐ Without spinal surgery
☐ Local	☐ Endoscopy	☐ Osteopathic manipulative
☐ Spinal	☐ Cystoscopy	medicine
☐ Other	☐ Bronchoscopy	☐ Pain management
☐ Angiography	□ EGD	☐ Cordotomy
☐ Angioplasty	☐ Gastroscopy	☐ Dorsal root gangliotomy
☐ Appendectomy	☐ Hysteroscopy	☐ Facet blocks
☐ Arteriography	□ Proctoscopy	☐ Medication only
☐ Arthroscopy	☐ Sigmoidoscopy	☐ Nerve root blocks
☐ Assist in Major Surgery	☐ Other	☐ Pump implantation and removal
☐ On own patients	□ ERCP/ERC	☐ Rhizotomy
☐ On patients of others	☐ Exchange transfusion	☐ Sphenopalatine lesioning
☐ Bariatric Surgical procedures	☐ Facial plastic surgery	☐ Spinal injections
☐ Gastric banding	☐ Elective cosmetic	☐ Thoracic sympathectomy
☐ Gastric bubble	☐ Reconstructive	☐ Trigeminal lesioning
☐ Gastric bypass	☐ Fluoroscopy	☐ Other
☐ Gastric stapling	☐ Fracture Reduction	☐ Percutaneous vertebroplasty
☐ Blepharoplasty	☐ Closed	□ Pacemaker placement
☐ Cosmetic	☐ Open	☐ Polypectomy
☐ Reconstructive		☐ Prenatal care – 1st Trimester
		☐ Prenatal care – 2nd Trimester
□ Brazilian Butt Lift / Miami Thong Lift	☐ Hemorrhoidectomy	☐ Prenatal care – 3rd Trimester
☐ Breast Biopsy	☐ Hernia repair	☐ Prolotherapy
☐ Breast Implants	☐ Hip nailing	☐ Provertin retinal therapy
☐ Breast Reduction	☐ Hospitalist Please complete the Hospitalist supplement.	☐ Radiation therapy
	☐ Hyperbaric medicine	☐ Radiopaque dye injection
	☐ Hysterectomy	☐ Roux-en-Y
	☐ Intensive care for newborns	
☐ Chemanyulla kusia	☐ Intensive care medicine for adults	☐ Sclerotherapy
☐ Chemonucleolysis	☐ Infertility treatment	☐ Spinal fusion
☐ Cholecystectomy	☐ Medical	☐ Spinal surgery, other
☐ Circumcision	☐ In vitro fertilization	☐ Thoracic surgery %
☐ Colonoscopy	☐ Other surgical	☐ Thyroidectomy
☐ Colposcopy	-	☐ Tonsillectomy/adenoidectomy
☐ Cryosurgery, other than external	☐ Laminectomy	☐ Transgender surgery/hormonal
lesions	☐ Laparoscopy	gender conversion
☐ Dermatological procedures	LASIK	☐ Tubal ligation
☐ Botox injection	☐ Left heart catheterization	☐ Vascular surgery %
☐ Chemical peels	☐ Liposuction	☐ Vasectomy



	Chemobrasion Collagen injection Dermabrasion Fat transfer Hair transplant Laser hair removal Laser skin resurfacing Microdermabrasion Silicone injection Other	☐ Tumescent ☐ Other ☐ Lithotripsy ☐ Mammography ☐ Mesotherapy ☐ Myelography ☐ Myomectomy % ☐ Neonatology	None of the above appractice (Initial) Other procedures not I (Please list)		
A.	 c. Do you have privileges hospital staff? d. If you employ a Nurse that individual perform Do you or will you staff an emergence of the privileges 	liveries per year c deliveries BAC deliveries per year do you use on VBAC patients? s to perform C-sections at each Midwife, how many deliveries does annually?		Yes □ N/A	No
	b. If "yes," in what facilitie	s or for what staffing company?			
	 c. Is this emergency roon hospital staff privileges 	n practice required solely to maintain s?		Yes	No
VI.	ADDITIONAL PROFESSION	NAL INFORMATION - If you answer "yes"	to any of these questions ple	ase provide	details
	Has your license to practice of	r your permit to prescribe drugs ever l voluntarily surrendered, or otherwise	been	Yes	No
В.	3. Have your hospital staff privileges ever been suspended, revoked, voluntarily surrendered, or in any way restricted?			Yes	No
C.	Have you ever been refused h	nospital privileges?		Yes	No
D.	consent agreement with any fo	re, been investigated by, or entered in ormal hospital committee, state licens aminers, or other medical or dental re	ing Board,	Yes	No



E.	Have you ever had a patient or a patient representative complain to or file a grievance of any type with a hospital committee, state licensing Board, Board of Medical or Dental Examiners, or other medical or dental review committees?	Yes	No
F.	Have you ever been convicted of, pled guilty to or entered into a plea agreement for a violation of any law or ordinance other than traffic offenses, but including driving while under the influence of alcohol or any other substance?	Yes	No
G.	Have you ever been evaluated for, recommended for treatment of, diagnosed with or treated for alcohol, narcotics or any other substance abuse, sexual addiction, anger management or any other mental illness, including but not limited to depression and/or chronic fatigue?	Yes	No
H.	Have you ever incurred or become aware of having a condition that impairs your ability to practice your medical specialty? If yes, state conditions(s) and date(s) and identify your treating physician(s) in the space p In the event of any such impairment, a statement from your physician attesting to your fitr your specialty must accompany this application.		
	Type(s) of Illness:		
	Date(s) of treatment(s):		
	Name of treating physician(s):		
l.	Have you ever been accused of sexual misconduct of any kind?	Yes	No
J.	Have you or your practice been the subject of any billing or reimbursement inquiry Or investigation by any governmental agency, private health insurance payors or public Health insurance payors, including, but not limited to, Medicare or Medicaid?	Yes	No
K.	Have there been any changes in your specialty or practice activities (including the addition of new procedures) within the past ten years? If YES, please provide details:	Yes	No
L.	Do you anticipate any changes in your specialty or practice activities (including the addition of new procedures) in the next year? If YES, please provide details:	Yes	No



M.	Do you perform any procedures not routinely performed by other persons practicing your specialty or subspecialty?	Yes	No
N.	Do you provide medical services within the confines of any state, local or federal correctional facility, jail or prison?	Yes	No
Ο.	Do you treat patients in a nursing home or similar facility? If YES, how many patients do you treat there per month, on average?	Yes	No
	Are you contracted with a facility or are these your own private practice patients?		
P.	Do you serve as a medical director of a hospital, nursing home, or other facility? If YES, please complete a medical directorship supplement.	Yes	No
	Do you wish to have coverage for this exposure?	Yes	No
	If yes, please complete a Medical Director Supplement. If yes, does the entity have coverage?	Yes	No
Q.	Do you render care or perform consultations outside the state of your primary office location, including but not limited to the use of telecommunication technology as a medium for rendering medical services (i.e. teleradiology, telemedicine or internet medicine)? If YES, please complete the telemedicine supplement form.	Yes	No
R.	Do you treat patients in an addiction facility, sober living facility or similar facility?	Yes	No
S.	Do you treat patients for Addiction Medicine - Outpatient Only? If yes, please complete Addiction Medicine supplement	Yes	No
T.	Do you provide IV ketamine treatment to patients?	Yes	No
U.	Do you provide Esketamine treatment to patients? If yes, are these services provided in a healthcare setting and are patients monitored for at least two hours prior to being discharged?	Yes Yes	No No
V.	Do you prescribe ketamine lozenges? If yes, are ketamine lozenges prescribed via Telemedicine?	Yes Yes	No No



VII. EDUCATIONAL INFORMATION (Complete Below or Attach CV) MEDICAL SCHOOLS

NAME OF MEDICAL SCHOOL(S) ATTENDED	LOCATION OF SCHOOL(S) ATTENDED	DEGREE	DATE GRADUATED

RESIDENCIES, FELLOWSHIPS, AND OTHER POST-GRADUATE TRAINING

INSTITUTION	LOCATION	SPECIALTY OR DEPARTMENT	DATES (MO	NTH/YEAR)	WAS THE TRAINING FULLY
		DEPARTMENT	START	END	COMPLETED?
					□ Yes □ No
					□ Yes □ No
					□ Yes □ No

VIII. PRACTICE LOCATIONS HISTORY

PLEASE LIST ALL LOCATIONS WHERE YOU HAVE PRACTICED SINCE RESIDENCY

LOCATIONS	DATES (MONTH/YEAR)		
	START	END	



IA. LINIII COVERAGE	IX.	ENTITY	(COV	/ERAGE
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□ If a Solo Practice: Name of your Corporate entity and/or DBA name:
Do you want coverage for the above entity?
What is the retroactive date for this current coverage?
When was the entity formed?
□ If a Member of a partnership or multi-shareholder corporation / Partnership/Group Name:
□ Work as an Employee or Independent Contractor for Other - please explain and provide name of Entity/Practice you are working for:

X. INFORMATION ON ALLIED HEALTH CARE PROFESSIONALS

A. List below any Ancillary or Allied Health Care Professionals associated with your practice:

Name		Specialty	Status	Shared Limits Coverage	Additional Information
1			☐ Employee ☐ Contractor	□ Yes	DOB Year of Graduation Years at Current Company Years of Professional Experience Hours Worked Per Week Number of Patients seen Per Week
2			☐ Employee ☐ Contractor	☐ Yes	DOB Year of Graduation Years at Current Company Years of Professional Experience Hours Worked Per Week Number of Patients seen Per Week
3			☐ Employee ☐ Contractor	□ Yes	DOB Year of Graduation Years at Current Company Years of Professional Experience Hours Worked Per Week Number of Patients seen Per Week
4			☐ Employee ☐ Contractor	□ Yes	DOB Year of Graduation Years at Current Company Years of Professional Experience Hours Worked Per Week Number of Patients seen Per Week
5			☐ Employee ☐ Contractor	□ Yes □ No	DOB Year of Graduation Years at Current Company Years of Professional Experience Hours Worked Per Week Number of Patients seen Per Week



B.	Do any of your employees practice at a location geographically separate from yours?	Yes	No
	If "yes", please explain.		

На	Had any of the above Allied Health Care Professional:				
1	Named in a suit or subject of disciplinary or investigatory proceeding or reprimand by an administrative or governmental agency?				
2	Had their insurance canceled, declined or refused to renew?				
3	Have been convicted of a felony?				
4	Have sought treatment for drug or alcohol addiction?				
5	Are aware of any circumstances which may result in a malpractice claim or suit being made or brought against you or any other named insured?				
6	Administer any anesthesia?				
7	Perform or assist in any surgical procedure?				
8	Work at any other company or location other than the one applying for this coverage?				



XI. HOSPITAL AFFILIATIONS AND PRIVILEGES HOSPITALS WHERE YOU HAVE, OR HAD, ACTIVE PRIVILEGES OR WHERE YOU HAVE APPLIED

HOSPITAL DATA			D#	ATES (MO	NTH/YEA	R)	PATIENTS OF IN			CERTIFICATE ISURANCE	
NAME	MAILIN	G ADDRESS	STAR	Т	E	END		MITTED TO IIS FACILITY			
									□ Yes	□ No	
									□ Yes	□No	
									□ Yes	□No	
									□ Yes	□ No	
		LIABILITY	 	ICE & C			, ——	DETDO (OT)	_		
INSURANCE CO NAME	MPANY	# OF CLOSED CLAIMS	# OF PENDING CLAIMS FR		POLICY DATES ROM TO		RETROACTIV DATE		CO	TALL VERAGE CHASED?	
A. Has any ir renew, su or exclusi	rcharged	company e						s	Yes	No	

23276 S. Pointe Dr. Suite 204 Laguna Hills , California 92653 * P 888-959-9456 * F 888-600-6280 * W www.DPLRRG.com

B. Have you ever been involved in a malpractice claim or suit, either directly

If "yes," how many? _____

or indirectly? (THIS INCLUDES ANY WHICH HAVE BEEN CLOSED or DISMISSED)

Yes

No



C.	Other than the claims/suits indicated in 12B, are you aware of any of the following circumstances that
	might reasonably lead to a claim or suit being brought against you even if you believe the claim or suit
	would be without merit? If you respond YES to any of the below questions, then you must provide
	additional information.

i. A request for records from a patient and/or attorney related to an adverse outcome?	Yes	No
ii. A letter from an attorney regarding your medical treatment of a patient?	Yes	No
iii. Intra-operative or post-operative complications or any other type complications resulting in death, paralysis, other significant disability or the need for follow-up surgery?	Yes	No
iv. Patient or family member dissatisfaction with the outcome of a procedure, treatment or diagnosis?	Yes	No
v. Any other incidents or circumstances that might reasonably lead to a claim or suit?	Yes	No

D. HAVE ALL INCIDENTS & CIRCUMSTANCES THAT MIGHT REASONABLY LEAD DIVING NO TO A CLAIM OR SUIT (even if you believe the possible claim or suit would be without merit) BEEN REPORTED TO YOUR CURRENT OR PREVIOUS PROFESSIONAL LIABILITY INSURANCE CARRIER?

IMPORTANT!!! Please note that a NO answer to question D indicates that you are aware of a potential CLAIM OR SUIT but have not yet reported it to your current insurance company. Using a separate page, please provide the name of the patient you are referring to along with a detailed narrative as to what transpired and the date that the incident in question took place.



I HEREBY REPRESENT THAT THE AFOREMENTIONED STATEMENTS AND ANSWERS ARE CORRECT AND COMPLETE. I FURTHER UNDERSTAND THAT MY ANSWERS AND STATEMENTS WILL BE THE BASIS FOR DETERMINING MY INSURABILITY AND PREMIUM FOR THE PROFESSIONAL LIABILITY INSURANCE BEING APPLIED FOR. I FURTHER UNDERSTAND THAT THE COMPLETION AND SIGNING OF THIS APPLICATION DOES NOT BIND THE APPLICANT OR THE COMPANY TO COMPLETE THIS INSURANCE.

ANY PERSON WHO KNOWINGLY OR WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR A STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY MATERIAL FACT THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.

ACKNOWLEDGED AND AGREED:				
Applicant Name (Printed)	Applicant Signature (Required)	Date Signed		
		AS SOON AS POSSIBLE AS THEY ARE NO WITH PROMPT SERVICE AND FASTER		
TURN AROUND TIME ON G		WITH ROME I SERVICE AND LASTER		
If you have the need to pro	ovide additional info or to elaborate on p	revious YFS responses please do so in		
the space provided below:		revious 123 responses please do 30 m		



Supplemental Claims Information

1.	. Patient's name:									
2	. Date reported to insurance company:									
3. Name of Insurance Company:										
4		nt:								
5										
6. What is the present condition of the patient?										
7	. Did you in any way alter, embellish	n, delete, change, and/or destroy any	records, Yes No							
	medical or otherwise, or were alle	gations made that you did so, pertain	ing to this claim?							
8	3. Status of claim (check applicable a	answer):								
□ S	Guit threatened, no action taken	Court outcome in your favor:	Unresolved/Open Claim:							
	Suit filed but dropped by claimant	☐ Jury verdict	☐ Awaiting mediation							
	Summary judgment in your favor	☐ Directed verdict	☐ Awaiting court action							
	, ,, , , , , , , , , , , ,	=								
□ S	Guit settled out of court	Court outcome in favor of	Reserve Amount:							
a. Da	ate claim paid:	plaintiff:								
b. Ar	mount paid:	Jury verdict								
c. Di	d you want to settle this claim?	Directed verdict								
	Yes No	Amt. of loss payment:								
_	Name and address of the attention									
Ş	9. Name and address of the attorney	assigned to your case:								
1	O. To your knowledge, was any settle	ement paid by another party involved	Yes No							
	(i.e., your P.A., P.C., partners, employees, etc.)?									
	If "yes", amount was									
1	1. Explain, in detail, what action(s) yo	u have taken to prevent recurrence o	f this type of claim:							
	6:	5.								
	Signature:	Date:								
	Nama/Drintad)									
	Name(Printed):									



Statement of No Claims / Losses

(This statement must be completed, signed and returned with the completed application)

My signature below confirms that:

- 1. The Insured has reviewed, or has had an opportunity to review, the proposed insurance Policy from the Company. All capitalized terms referenced herein shall have the same meaning afforded to them in the Policy.
- 2. The Insured has conducted a diligent search and investigation as part of completing this Statement of No Claims/Losses and represents and warrants to the Company the following:
 - a. No Claims, Occurrences, facts, circumstances, or situations exist that have not been previously reported to the Insured's prior insurance carrier;
 - b. No requests for medical records have been made to any Insured, which refer to a potential lawsuit, medical malpractice action, or pre-suit proceedings;
 - c. No requests for medical records have been made to any Insured about which any Insured knew (or should have known) and could have reasonably foreseen that such request might be expected to be the basis of a Claim; and
 - d. No prior insurance carrier has refused or denied coverage for any Claims made against any Insured for the previous five (5) years.
- 3. To the extent ANY of the above statements or representations contained in Section 2 are untrue or inaccurate, the Insured acknowledges and agrees that the Company may seek to rescind or cancel the Policy and/or that the Policy may not afford coverage for any Claim, Occurrence, fact, circumstance, or situation based on, arising out of, or in any way involving such untrue statements or representations, whether or not any Insured knew that the Application contained an untruthful or inaccurate disclosure.
- 4. The person signing this Statement of No Claims/Losses further represents and warrants to the Company the following:
 - a. He / She is an authorized agent of the entity(ies) and/or individual(s) seeking insurance from the Company; and
 - b. He / She is authorized to complete this Statement of No Claims/Losses on behalf of the entity (ies) and/or individual(s) seeking insurance from the Company.

Signature	Date	
Name		



Waiver of Prior Acts Coverage, DPLRRG

This form must be completed ONLY if you are requesting 1st year/no prior acts coverage.

Signature		Date					
policy which I am purchasing from Do	policy which I am purchasing from Doctors Professional Liability, RRG will not provide prior acts coverage.						
carrier will result in an uninsured exp	carrier will result in an uninsured exposure while insured by my previous carrier's policy. I understand that the						
was insured under a claims-made policy. I realize that my failure to purchase such coverage from my previous							
acknowledge the need to purchase tail coverage (reporting endorsement) from my previous carrier where I							

Printed Name



Application for Additional Insureds

All information below must be completed and all questions answered "Yes" or "No". Please provide any extra explanations on a separate page. Submit this form with a copy of state license, CV and signed No Known Loss.

Effective	Date	Additional Insured Name	Date of Birth	Date of Birth			
Professional Degree Social Security Number		School of Professional Degree	Year of Graduat	Year of Graduation			
		License Number	Email Address	Email Address			
Primary I	nsured						
Addit	ional Insured Questi	ons: (Please explain all "Yes" answers on separa	te page)				
1.		named in a suit or subject of disciplinary or		Yes	No		
	•	ings or reprimand by an administrative or					
		, hospital or professional association?					
2.	Have you ever had ar	y insurance canceled, declined or refused to renew?		Yes	No		
3.	Have you ever been o	convicted of a felony?		Yes	No		
4.	Have you ever sough	t treatment for drug or alcohol addiction?		Yes	No		
5.	Are you aware of any	circumstances which may result in a malpractice claim	1	Yes	No		
	or suit being made or	brought against you or any other named insured?					
6. Do you administer any anesth		y anesthesia?		Yes	No		
7.	Do you perform or ass	sist in any surgical procedures? (List All)		Yes	No		
8.	Years at current comp	any?					
9.	Years of professional	experience?					
10.	Number of hours worl	ked per week?					
11.	Number of patients se	een per week?					
12.	Do you work at any of	ther company or location other than the one applying		Yes	No		
	for this coverage?						
I HEDI	ERV DECLARE THAT I	HAVE READ THE ABOVE APPLICATION AND THAT	ALL STATEM	MENITS !	MADE IN		
	_		HER ACKNO	_			
		ACK OF NOTIFYING THE CARRIER OF CHANGES IN M					
COVE	RAGE BEING VOIDED.						
Authoriz	ed Representative Signature	Date					
Printed N	Name						