



# DOCTORS PROFESSIONAL LIABILITY

## Medical Professional Liability Policy Application

- ☐ APPLICATION MUST BE SIGNED AND DATED AT TIME FIRST COMPLETED AND SENT BACK TO US.
- ☐ Please provide your expiring insurer policy Declarations Page showing Retroactive Date – a must if requesting Prior Acts Coverage.
- ☐ Please provide copies of any applicable current policy endorsements that affect basis of coverage so that we are able to try and obtain coverage aspects equal to or better than what you currently have in place.
- ☐ Please provide a copy of an Up-to-date CV (curriculum vitae - also known as a resume).
- ☐ Please provide current (*i.e. obtained within 60 days of requested effective date*) Claims History / Loss Run reports from all Prior Insurance Companies over the last 10 years – WE WILL BE GLAD TO TRY AND HELP YOU OBTAIN THESE IF NECESSARY.



## Medical Professional Liability Policy Application

### I. PERSONAL INFORMATION

Full Name of Applicant: \_\_\_\_\_  
FIRST MIDDLE LAST SUFFIX  
Professional Designation: ☐ MD ☐ DO Date of Birth: \_\_\_\_\_ Gender: Male Female  
MONTH DAY YEAR  
Place of Birth: \_\_\_\_\_ Social Security Number: \_\_\_\_\_

### II. OFFICE INFORMATION

Principal Office Address: \_\_\_\_\_  
City County State Zip  
Office Phone Number: \_\_\_\_\_ Office Fax Number: \_\_\_\_\_  
Email Address: \_\_\_\_\_ Office Manager: \_\_\_\_\_  
Secondary Office \_\_\_\_\_  
Locations (If any): \_\_\_\_\_  
City County State Zip

### III. COVERAGE REQUEST

Requested Effective Date: \_\_\_\_\_ Retroactive Date: \_\_\_\_\_  
MONTH DAY YEAR MONTH DAY YEAR

\*\*If retroactive coverage is requested, please complete the attached No Known Loss Form.

\*\*If you do not want retroactive coverage, please complete the attached Waiver of Prior Acts Form.

Please indicate your desired level of coverage in the appropriate box.

☐ \$100,000/\$300,000 ☐ \$200,000/\$600,000 ☐ \$250,000/\$750,000  
☐ \$500,000/\$1,500,000 ☐ \$1,000,000/\$3,000,000 ☐ \$1,300,000/\$3,900,000 (New York Only)

### IV. CLASSIFICATION, LICENSING AND BOARD CERTIFICATION INFORMATION

- A. What is your present specialty? \_\_\_\_\_  
B. What is your present sub-specialty? \_\_\_\_\_  
C. What percentage of your practice is devoted to your specialty? \_\_\_\_\_ Sub-specialty? \_\_\_\_\_  
D. Indicate the average number of: Patients seen per week: \_\_\_\_\_ Hours practiced per week: \_\_\_\_\_  
If working 20 hours or less, reason for being part-time? \_\_\_\_\_  
How long have you been part-time? \_\_\_\_\_



E. Licensing (List all states in which you are currently licensed.) \_\_\_\_\_

State	Medical License Number	% of Practice	Federal DEA License Number & Status	Member of State Medical Association?
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No

F. If you are a foreign graduate, are you certified by the Educational Commission for Foreign Medical Graduates?      Yes      No      ☐ N/A

G. Are you American Board Certified?      Yes      No  
a. If "yes," list Specialty Board(s): \_\_\_\_\_ (Indicate allopathic or osteopathic)  
b. If "yes," list date of initial Board Certification: \_\_\_\_\_

H. Please indicate the number of Continuing Medical Education (CME) credit hours you have attained over the past 12 months: \_\_\_\_\_

## V. MEDICAL PROCEDURES INFORMATION

<input type="checkbox"/> Abortion, elective <input type="checkbox"/> Acupuncture <input type="checkbox"/> Anesthesia <input type="checkbox"/> Caudal <input type="checkbox"/> Local <input type="checkbox"/> Spinal <input type="checkbox"/> Other _____ <input type="checkbox"/> Angiography <input type="checkbox"/> Angioplasty <input type="checkbox"/> Appendectomy <input type="checkbox"/> Arteriography <input type="checkbox"/> Arthroscopy <input type="checkbox"/> Assist in Major Surgery <input type="checkbox"/> On own patients <input type="checkbox"/> On patients of others <input type="checkbox"/> Bariatric Surgical procedures <input type="checkbox"/> Gastric banding <input type="checkbox"/> Gastric bubble <input type="checkbox"/> Gastric bypass <input type="checkbox"/> Gastric stapling <input type="checkbox"/> Blepharoplasty <input type="checkbox"/> Cosmetic <input type="checkbox"/> Reconstructive <input type="checkbox"/> Brazilian Butt Lift / Miami Thong Lift <input type="checkbox"/> Breast Biopsy <input type="checkbox"/> Breast Implants <input type="checkbox"/> Breast Reduction <input type="checkbox"/> Cardiac surgery <input type="checkbox"/> Cataract surgery <input type="checkbox"/> Chelation therapy <input type="checkbox"/> Chemonucleolysis <input type="checkbox"/> Cholecystectomy <input type="checkbox"/> Circumcision <input type="checkbox"/> Colonoscopy <input type="checkbox"/> Colposcopy <input type="checkbox"/> Cryosurgery, other than external lesions <input type="checkbox"/> Dermatological procedures <input type="checkbox"/> Botox injection <input type="checkbox"/> Chemical peels	<input type="checkbox"/> D & C <input type="checkbox"/> Dermatopathology <input type="checkbox"/> Echocardiography <input type="checkbox"/> Endoscopic laser therapy <input type="checkbox"/> Endoscopy <input type="checkbox"/> Cystoscopy <input type="checkbox"/> Bronchoscopy <input type="checkbox"/> EGD <input type="checkbox"/> Gastroscopy <input type="checkbox"/> Hysteroscopy <input type="checkbox"/> Proctoscopy <input type="checkbox"/> Sigmoidoscopy <input type="checkbox"/> Other _____ <input type="checkbox"/> ERCP/ERC <input type="checkbox"/> Exchange transfusion <input type="checkbox"/> Facial plastic surgery <input type="checkbox"/> Elective cosmetic <input type="checkbox"/> Reconstructive <input type="checkbox"/> Fluoroscopy <input type="checkbox"/> Fracture Reduction <input type="checkbox"/> Closed <input type="checkbox"/> Open <input type="checkbox"/> Hand surgery <input type="checkbox"/> Hemorrhoidectomy <input type="checkbox"/> Hernia repair <input type="checkbox"/> Hip nailing <input type="checkbox"/> Hospitalist Please complete the Hospitalist supplement. <input type="checkbox"/> Hyperbaric medicine <input type="checkbox"/> Hysterectomy <input type="checkbox"/> Intensive care for newborns <input type="checkbox"/> Intensive care medicine for adults <input type="checkbox"/> Infertility treatment <input type="checkbox"/> Medical <input type="checkbox"/> In vitro fertilization <input type="checkbox"/> Other surgical <input type="checkbox"/> Laminectomy <input type="checkbox"/> Laparoscopy <input type="checkbox"/> LASIK <input type="checkbox"/> Left heart catheterization <input type="checkbox"/> Liposuction	<input type="checkbox"/> Organ transplantation <input type="checkbox"/> Orthopedic surgery <input type="checkbox"/> Including spinal surgery <input type="checkbox"/> Without spinal surgery <input type="checkbox"/> Osteopathic manipulative medicine <input type="checkbox"/> Pain management <input type="checkbox"/> Cordotomy <input type="checkbox"/> Dorsal root gangliotomy <input type="checkbox"/> Facet blocks <input type="checkbox"/> Medication only <input type="checkbox"/> Nerve root blocks <input type="checkbox"/> Pump implantation and removal <input type="checkbox"/> Rhizotomy <input type="checkbox"/> Sphenopalatine lesioning <input type="checkbox"/> Spinal injections <input type="checkbox"/> Thoracic sympathectomy <input type="checkbox"/> Trigeminal lesioning <input type="checkbox"/> Other _____ <input type="checkbox"/> Percutaneous vertebroplasty <input type="checkbox"/> Pacemaker placement <input type="checkbox"/> Polypectomy <input type="checkbox"/> Prenatal care – 1st Trimester <input type="checkbox"/> Prenatal care – 2nd Trimester <input type="checkbox"/> Prenatal care – 3rd Trimester <input type="checkbox"/> Prolotherapy <input type="checkbox"/> Provertin retinal therapy <input type="checkbox"/> Radiation therapy <input type="checkbox"/> Radiopaque dye injection <input type="checkbox"/> Roux-en-Y <input type="checkbox"/> Sclerotherapy <input type="checkbox"/> Spinal fusion <input type="checkbox"/> Spinal surgery, other <input type="checkbox"/> Thoracic surgery %_____ <input type="checkbox"/> Thyroidectomy <input type="checkbox"/> Tonsillectomy/adenoidectomy <input type="checkbox"/> Transgender surgery/hormonal gender conversion <input type="checkbox"/> Tubal ligation <input type="checkbox"/> Vascular surgery %_____ <input type="checkbox"/> Vasectomy
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<input type="checkbox"/> Chemobrasion <input type="checkbox"/> Collagen injection <input type="checkbox"/> Dermabrasion <input type="checkbox"/> Fat transfer <input type="checkbox"/> Hair transplant <input type="checkbox"/> Laser hair removal <input type="checkbox"/> Laser skin resurfacing <input type="checkbox"/> Microdermabrasion <input type="checkbox"/> Silicone injection <input type="checkbox"/> Other _____	<input type="checkbox"/> Tumescant <input type="checkbox"/> Other _____ <input type="checkbox"/> Lithotripsy <input type="checkbox"/> Mammography <input type="checkbox"/> Mesotherapy <input type="checkbox"/> Myelography <input type="checkbox"/> Myomectomy % _____ <input type="checkbox"/> Neonatology	None of the above apply to my practice (Initial) _____  Other procedures not listed above (Please list) _____ _____ _____
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- A. If applying for Obstetrical coverage, indicate:
- a. Average number of deliveries per year \_\_\_\_\_  
 Percentage of high-risk deliveries \_\_\_\_\_
  - b. Average number of VBAC deliveries per year \_\_\_\_\_  
 What induction agents do you use on VBAC patients? \_\_\_\_\_
  - c. Do you have privileges to perform C-sections at each hospital staff? Yes      No
  - d. If you employ a Nurse Midwife, how many deliveries does \_\_\_\_\_ □ N/A  
 that individual perform annually?
- B. Do you or will you staff an emergency room?
- a. If "yes," how many hours per week? \_\_\_\_\_
  - b. If "yes," in what facilities or for what staffing company? \_\_\_\_\_
  - c. Is this emergency room practice required solely to maintain hospital staff privileges? Yes      No

**VI. ADDITIONAL PROFESSIONAL INFORMATION** - If you answer "yes" to any of these questions please provide details.

- A. Has your license to practice or your permit to prescribe drugs ever been denied, revoked, suspended, voluntarily surrendered, or otherwise investigated or limited in any way? Yes      No
- B. Have your hospital staff privileges ever been suspended, revoked, voluntarily surrendered, or in any way restricted? Yes      No
- C. Have you ever been refused hospital privileges? Yes      No
- D. Have you ever appeared before, been investigated by, or entered into any consent agreement with any formal hospital committee, state licensing Board, Board of Medical or Dental Examiners, or other medical or dental review committee? Yes      No

- |   |     |    |
|---|-----|----|
| E. Have you ever had a patient or a patient representative complain to or file a grievance of any type with a hospital committee, state licensing Board, Board of Medical or Dental Examiners, or other medical or dental review committees?                                      | Yes | No |
| F. Have you ever been convicted of, pled guilty to or entered into a plea agreement for a violation of any law or ordinance other than traffic offenses, but including driving while under the influence of alcohol or any other substance?                                       | Yes | No |
| G. Have you ever been evaluated for, recommended for treatment of, diagnosed with or treated for alcohol, narcotics or any other substance abuse, sexual addiction, anger management or any other mental illness, including but not limited to depression and/or chronic fatigue? | Yes | No |
| H. Have you ever been accused of sexual misconduct of any kind?   | Yes | No |
| I. Have you or your practice been the subject of any billing or reimbursement inquiry Or investigation by any governmental agency, private health insurance payors or public Health insurance payors, including, but not limited to, Medicare or Medicaid?                        | Yes | No |
| J. Have there been any changes in your specialty or practice activities (including the addition of new procedures) within the past ten years?<br>If YES, please provide details: _____  | Yes | No |
| K. Do you anticipate any changes in your specialty or practice activities (including the addition of new procedures) in the next year?<br>If YES, please provide details: _____   | Yes | No |
| L. Do you perform any procedures not routinely performed by other persons practicing your specialty or subspecialty?  | Yes | No |
| M. Do you provide medical services within the confines of any state, local or federal correctional facility, jail or prison?  | Yes | No |
| N. Do you treat patients in a nursing home or similar facility?<br>If YES, how many patients do you treat there per month, on average? _____<br>Are you contracted with a facility or are these your own private practice patients? _____   | Yes | No |

- O. Do you serve as a medical director of a hospital, nursing home, or other facility? Yes No  
 If YES, please complete a medical directorship supplement. \_\_\_\_\_  
 Do you wish to have coverage for this exposure? Yes No  
 If yes, please complete a Medical Director Supplement.  
 If yes, does the entity have coverage? Yes No
- P. Do you render care or perform consultations outside the state of your primary office location, including but not limited to the use of telecommunication technology as a medium for rendering medical services (i.e. teleradiology, telemedicine or internet medicine)? Yes No  
 If YES, please complete the telemedicine supplement form.
- Q. Do you treat patients in an addiction facility, sober living facility or similar facility? Yes No
- R. Do you treat patients for Addiction Medicine - Outpatient Only? If yes, please complete Addiction Medicine supplement Yes No

## VII. EDUCATIONAL INFORMATION (Complete Below or Attach CV)

### MEDICAL SCHOOLS

NAME OF MEDICAL SCHOOL(S) ATTENDED	LOCATION OF SCHOOL(S) ATTENDED	DEGREE	DATE GRADUATED

### RESIDENCIES, FELLOWSHIPS, AND OTHER POST-GRADUATE TRAINING

INSTITUTION	LOCATION	SPECIALTY OR DEPARTMENT	DATES (MONTH/YEAR)		WAS THE TRAINING FULLY COMPLETED?
			START	END	
					<input type="checkbox"/> Yes <input type="checkbox"/> No
					<input type="checkbox"/> Yes <input type="checkbox"/> No
					<input type="checkbox"/> Yes <input type="checkbox"/> No

## VIII. PRACTICE LOCATIONS HISTORY

PLEASE LIST ALL LOCATIONS WHERE YOU HAVE PRACTICED SINCE RESIDENCY

LOCATIONS	DATES (MONTH/YEAR)	
	START	END

## IX. ENTITY COVERAGE

- ☐ If a Solo Practice: Name of your Corporate entity and/or DBA name: \_\_\_\_\_  
 Do you want coverage for the above entity? \_\_\_\_\_  
 What is the retroactive date for this current coverage? \_\_\_\_\_  
 When was the entity formed? \_\_\_\_\_
- ☐ If a Member of a partnership or multi-shareholder corporation / Partnership/Group Name: \_\_\_\_\_
- ☐ Work as an Employee or Independent Contractor for Other - please explain and provide name of Entity/Practice you are working for: \_\_\_\_\_

## X. INFORMATION ON ALLIED HEALTH CARE PROFESSIONALS

A. List below any Ancillary or Allied Health Care Professionals associated with your practice:

Name	Specialty	Status	Shared Limits Coverage	Additional Information
1		<input type="checkbox"/> Employee <input type="checkbox"/> Contractor	<input type="checkbox"/> Yes <input type="checkbox"/> No	DOB _____ Year of Graduation _____ Years at Current Company _____ Years of Professional Experience _____ Hours Worked Per Week _____ Number of Patients seen Per Week _____
2		<input type="checkbox"/> Employee <input type="checkbox"/> Contractor	<input type="checkbox"/> Yes <input type="checkbox"/> No	DOB _____ Year of Graduation _____ Years at Current Company _____ Years of Professional Experience _____ Hours Worked Per Week _____ Number of Patients seen Per Week _____
3		<input type="checkbox"/> Employee <input type="checkbox"/> Contractor	<input type="checkbox"/> Yes <input type="checkbox"/> No	DOB _____ Year of Graduation _____ Years at Current Company _____ Years of Professional Experience _____ Hours Worked Per Week _____ Number of Patients seen Per Week _____





4			<input type="checkbox"/> Employee <input type="checkbox"/> Contractor	<input type="checkbox"/> Yes <input type="checkbox"/> No	DOB _____ Year of Graduation _____ Years at Current Company _____ Years of Professional Experience _____ Hours Worked Per Week _____ Number of Patients seen Per Week _____
5			<input type="checkbox"/> Employee <input type="checkbox"/> Contractor	<input type="checkbox"/> Yes <input type="checkbox"/> No	DOB _____ Year of Graduation _____ Years at Current Company _____ Years of Professional Experience _____ Hours Worked Per Week _____ Number of Patients seen Per Week _____

B. Do any of your employees practice at a location geographically separate from yours?      Yes      No  
 If "yes", please explain. \_\_\_\_\_

Had any of the above Allied Health Care Professional:		
1	Named in a suit or subject of disciplinary or investigatory proceeding or reprimand by an administrative or governmental agency?	<input type="checkbox"/>
2	Had their insurance canceled, declined or refused to renew?	<input type="checkbox"/>
3	Have been convicted of a felony?	<input type="checkbox"/>
4	Have sought treatment for drug or alcohol addiction?	<input type="checkbox"/>
5	Are aware of any circumstances which may result in a malpractice claim or suit being made or brought against you or any other named insured?	<input type="checkbox"/>
6	Administer any anesthesia?	<input type="checkbox"/>
7	Perform or assist in any surgical procedure?	<input type="checkbox"/>
8	Work at any other company or location other than the one applying for this coverage?	<input type="checkbox"/>



## XI. HOSPITAL AFFILIATIONS AND PRIVILEGES

### HOSPITALS WHERE YOU HAVE, OR HAD, ACTIVE PRIVILEGES OR WHERE YOU HAVE APPLIED

HOSPITAL DATA		DATES (MONTH/YEAR)		% OF YOUR PATIENTS ADMITTED TO THIS FACILITY	ISSUE CERTIFICATE OF INSURANCE
NAME	MAILING ADDRESS	START	END		
					<input type="checkbox"/> Yes <input type="checkbox"/> No
					<input type="checkbox"/> Yes <input type="checkbox"/> No
					<input type="checkbox"/> Yes <input type="checkbox"/> No
					<input type="checkbox"/> Yes <input type="checkbox"/> No

## XII. PROFESSIONAL LIABILITY INSURANCE & CLAIMS HISTORY

INSURANCE COMPANY NAME	# OF CLOSED CLAIMS	# OF PENDING CLAIMS	POLICY DATES		RETROACTIVE DATE	TALL COVERAGE PURCHASED?
			FROM	TO		

A. Has any insurance company ever canceled, declined to issue, refused to renew, surcharged your premium, or issued coverage with any restrictions or exclusions? Yes      No

B. Have you ever been involved in a malpractice claim or suit, either directly or indirectly? (THIS INCLUDES ANY WHICH HAVE BEEN CLOSED or DISMISSED) Yes      No  
 If "yes," how many? \_\_\_\_\_

C. Other than the claims/suits indicated in 12B, are you aware of any of the following circumstances that might reasonably lead to a claim or suit being brought against you even if you believe the claim or suit would be without merit? If you respond YES to any of the below questions, then you must provide additional information.

- |   |     |    |
|---|-----|----|
| i. A request for records from a patient and/or attorney related to an adverse outcome?  | Yes | No |
| ii. A letter from an attorney regarding your medical treatment of a patient?  | Yes | No |
| iii. Intra-operative or post-operative complications or any other type complications resulting in death, paralysis, other significant disability or the need for follow-up surgery? | Yes | No |
| iv. Patient or family member dissatisfaction with the outcome of a procedure, treatment or diagnosis?   | Yes | No |
| v. Any other incidents or circumstances that might reasonably lead to a claim or suit?  | Yes | No |

D. HAVE ALL INCIDENTS & CIRCUMSTANCES THAT MIGHT REASONABLY LEAD TO A CLAIM OR SUIT (even if you believe the possible claim or suit would be without merit) BEEN REPORTED TO YOUR CURRENT OR PREVIOUS PROFESSIONAL LIABILITY INSURANCE CARRIER? ☐ N/A ☐ Yes ☐ No

**IMPORTANT!!!** Please note that a NO answer to question D indicates that you are aware of a potential CLAIM OR SUIT but have not yet reported it to your current insurance company. Using a separate page, please provide the name of the patient you are referring to along with a detailed narrative as to what transpired and the date that the incident in question took place.



**I HEREBY REPRESENT THAT THE AFOREMENTIONED STATEMENTS AND ANSWERS ARE CORRECT AND COMPLETE. I FURTHER UNDERSTAND THAT MY ANSWERS AND STATEMENTS WILL BE THE BASIS FOR DETERMINING MY INSURABILITY AND PREMIUM FOR THE PROFESSIONAL LIABILITY INSURANCE BEING APPLIED FOR. I FURTHER UNDERSTAND THAT THE COMPLETION AND SIGNING OF THIS APPLICATION DOES NOT BIND THE APPLICANT OR THE COMPANY TO COMPLETE THIS INSURANCE.**

**ANY PERSON WHO KNOWINGLY OR WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR A STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY MATERIAL FACT THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.**

**ACKNOWLEDGED AND AGREED:**

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Applicant Name (Printed)

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Applicant Signature (Required)

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Date Signed

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**PLEASE PROVIDE THE FOLLOWING WITH THE APPLICATION or AS SOON AS POSSIBLE AS THEY ARE ITEMS REQUIRED BY UNDERWRITERS IF WE ARE TO PROVIDE YOU WITH PROMPT SERVICE AND FASTER TURN AROUND TIME ON QUOTING – THANKS!**

**If you have the need to provide additional info or to elaborate on previous YES responses please do so in the space provided below:**

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## Supplemental Claims Information

1. Patient's name: \_\_\_\_\_
2. Date reported to insurance company: \_\_\_\_\_
3. Name of Insurance Company: \_\_\_\_\_
4. Date of incident and your treatment: \_\_\_\_\_
5. Allegations: \_\_\_\_\_

\_\_\_\_\_

6. What is the present condition of the patient? \_\_\_\_\_

\_\_\_\_\_

7. Did you in any way alter, embellish, delete, change, and/or destroy any records, Yes      No  
medical or otherwise, or were allegations made that you did so, pertaining to this claim?

8. Status of claim (check applicable answer):

- ☐ Suit threatened, no action taken
- ☐ Suit filed but dropped by claimant
- ☐ Summary judgment in your favor

Court outcome in your favor:

- ☐ Jury verdict
- ☐ Directed verdict

Unresolved/Open Claim:

- ☐ Awaiting mediation
- ☐ Awaiting court action

- ☐ Suit settled out of court

Court outcome in favor of  
plaintiff:

Jury verdict

Directed verdict

Amt. of loss payment:

Reserve Amount:

a. Date claim paid: \_\_\_\_\_

b. Amount paid: \_\_\_\_\_

c. Did you want to settle this claim?

Yes      No

\_\_\_\_\_

9. Name and address of the attorney assigned to your case: \_\_\_\_\_

\_\_\_\_\_

10. To your knowledge, was any settlement paid by another party involved Yes      No  
(i.e., your P.A., P.C., partners, employees, etc.)?

If "yes", amount was \_\_\_\_\_

11. Explain, in detail, what action(s) you have taken to prevent recurrence of this type of claim: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name(Printed): \_\_\_\_\_



## Statement of No Claims / Losses

*(This statement must be completed, signed and returned with the completed application)*

My signature below confirms that:

1. The Insured has reviewed, or has had an opportunity to review, the proposed insurance Policy from the Company. All capitalized terms referenced herein shall have the same meaning afforded to them in the Policy.
2. The Insured has conducted a diligent search and investigation as part of completing this Statement of No Claims/Losses and represents and warrants to the Company the following:
  - a. No Claims, Occurrences, facts, circumstances, or situations exist that have not been previously reported to the Insured's prior insurance carrier;
  - b. No requests for medical records have been made to any Insured, which refer to a potential lawsuit, medical malpractice action, or pre-suit proceedings;
  - c. No requests for medical records have been made to any Insured about which any Insured knew (or should have known) and could have reasonably foreseen that such request might be expected to be the basis of a Claim; and
  - d. No prior insurance carrier has refused or denied coverage for any Claims made against any Insured for the previous five (5) years.
3. To the extent ANY of the above statements or representations contained in Section 2 are untrue or inaccurate, the Insured acknowledges and agrees that the Company may seek to rescind or cancel the Policy and/or that the Policy may not afford coverage for any Claim, Occurrence, fact, circumstance, or situation based on, arising out of, or in any way involving such untrue statements or representations, whether or not any Insured knew that the Application contained an untruthful or inaccurate disclosure.
4. The person signing this Statement of No Claims/Losses further represents and warrants to the Company the following:
  - a. He / She is an authorized agent of the entity(ies) and/or individual(s) seeking insurance from the Company; and
  - b. He / She is authorized to complete this Statement of No Claims/Losses on behalf of the entity (ies) and/or individual(s) seeking insurance from the Company.

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Signature

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Date

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Name



## **Waiver of Prior Acts Coverage, DPLRRG**

This form must be completed ONLY if you are requesting 1st year/no prior acts coverage.

I acknowledge the need to purchase tail coverage (reporting endorsement) from my previous carrier where I was insured under a claims-made policy. I realize that my failure to purchase such coverage from my previous carrier will result in an uninsured exposure while insured by my previous carrier's policy. I understand that the policy which I am purchasing from Doctors Professional Liability, RRG will not provide prior acts coverage.

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Signature

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Date

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Printed Name



## Application for Additional Insureds

All information below must be completed and all questions answered "Yes" or "No". Please provide any extra explanations on a separate page. Submit this form with a copy of state license, CV and signed No Known Loss.

Effective Date

Additional Insured Name

Date of Birth

Professional Degree

School of Professional Degree

Year of Graduation

Social Security Number

License Number

Email Address

Primary Insured

### Additional Insured Questions: (Please explain all "Yes" answers on separate page)

- |   |       |    |
|---|-------|----|
| 1. Have you ever been named in a suit or subject of disciplinary or investigatory proceedings or reprimand by an administrative or governmental agency, hospital or professional association? | Yes   | No |
| 2. Have you ever had any insurance canceled, declined or refused to renew?  | Yes   | No |
| 3. Have you ever been convicted of a felony?  | Yes   | No |
| 4. Have you ever sought treatment for drug or alcohol addiction?  | Yes   | No |
| 5. Are you aware of any circumstances which may result in a malpractice claim or suit being made or brought against you or any other named insured?   | Yes   | No |
| 6. Do you administer any anesthesia?  | Yes   | No |
| 7. Do you perform or assist in any surgical procedures? (List All)  | Yes   | No |
| 8. Years at current company?  | <hr/> |    |
| 9. Years of professional experience?  | <hr/> |    |
| 10. Number of hours worked per week?  | <hr/> |    |
| 11. Number of patients seen per week?   | <hr/> |    |
| 12. Do you work at any other company or location other than the one applying for this coverage?   | Yes   | No |

I HEREBY DECLARE THAT I HAVE READ THE ABOVE APPLICATION AND THAT ALL STATEMENTS MADE IN THIS APPLICATION ARE TRUE, MATERIAL AND COMPLETE. I FURTHER ACKNOWLEDGE ANY MISREPRESENTATION OR LACK OF NOTIFYING THE CARRIER OF CHANGES IN MY PRACTICE MAY RESULT IN COVERAGE BEING VOIDED.

Authorized Representative Signature

Date

Printed Name

23276 S. Pointe Dr. Suite 204 Laguna Hills , California 92653 \* P 888-959-9456 \* F 888-600-6280 \* W [www.DPLRRG.com](http://www.DPLRRG.com)